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## DISTRIBUTED CRAWLING OF HYPERLINKED DOCUMENTS

This application claims the benefit of U.S. Provisional Application No. 60/195,581, filed April 6, 2000, which is hereby incorporated by reference.

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### BACKGROUND OF THE INVENTION

The present invention relates to crawling (or traversing) of hyperlinked documents. More specifically, the invention relates to techniques for the distributed crawling of hyperlinked documents that can perform rate limiting of hosts and adapt  
15 to actual retrieval times of the hosts.

The World Wide Web (or "Web") contains a vast amount of information in the form of hyperlinked documents (e.g., web pages). One of the reasons for the virtually explosive growth in the number of hyperlinked documents on the Web is that just about anyone can upload hyperlinked documents, which can include links to

other hyperlinked documents. Although there is no doubt that there is a vast amount of useful information on the Web, the unstructured nature of the Web can make it difficult to find the information that is desired.

Search engines allow users to enter queries (e.g., key words) that describe 5 the information users are seeking. The search engines then scan the Web for hyperlinked documents that best satisfy the query. With literally millions of hyperlinked documents on the Web, web crawlers are typically utilized to scan, index and store information regarding hyperlinked documents on the Web so that the search engines can execute queries more efficiently.

10 As the size of the Web continues to increase, it becomes increasingly more desirable to have innovative techniques for efficiently crawling the Web. Additionally, it would be beneficial to have web crawling techniques that are efficient yet do not impose unnecessary burdens on hosts on the Web.

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## SUMMARY OF THE INVENTION

The present invention provides innovative techniques for crawling of hyperlinked documents. In general, the hyperlinked documents are grouped by host and the host to crawl next is selected according to a stall time of the host, such as

5 the earliest time in which a hyperlinked document from the host should be crawled.

Additionally, a single link (e.g., uniform resource locator or URL) server can be utilized to interface with multiple link managers that provide links to hyperlinked documents to be crawled to the link server. The distributed nature of some embodiments of the invention can efficiently crawl hyperlinked documents while 10 ensuring that unnecessary burdens are not placed on the hosts. Hosts can be identified by human-readable names, such as www.ibm.com, or they can be identified by all or part of the IP address of the host. Some specific embodiments of the invention are described below.

In one embodiment, the invention provides a computer implemented method 15 of crawling hyperlinked documents. Links to hyperlinked documents to be crawled are received and the links are grouped by host. The host to crawl next is selected according to a stall time of the host. Once the host to crawl next is selected, a hyperlinked document from the selected host is crawled. In some embodiments, the hosts are grouped according to the number of hyperlinked documents to be crawled 20 at each host.

In another embodiment, the invention provides a computer implemented method of crawling hyperlinked documents. Links to hyperlinked documents to be crawled are received and the links are grouped by host. The host to crawl next is selected according to a stall time of the host and a hyperlinked document from the 5 selected host is crawled. The retrieval time for retrieving for the hyperlinked document from the selected host is determined and subsequent stall times for the selected host are adjusted according to the retrieval time. Thus, actual retrieval times can be utilized to adjust the stall times for the hosts.

In another embodiment, the invention provides a computer implemented 10 method of crawling hyperlinked documents. Links to hyperlinked documents to be crawled are stored and when it is determined that more links are desired, requests are sent to multiple link managers for more links. Additional links are received from the link managers and the host to crawl next is selected according to the stall time of the host. Once the host to crawl next is selected, a hyperlinked document from the 15 selected host is crawled.

Other features and advantages of the invention will become readily apparent upon review of the following description and association with the accompanying drawings, where the same or similar structures are designated with the same reference numerals.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates an example of a computer system that can be utilized to execute the software of an embodiment of the invention.

FIG. 2 illustrates a system block diagram of the computer system of FIG. 1.

5 FIG. 3 illustrates a network of multiple computer systems including wide area networks and local area networks.

FIG. 4 shows a block diagram of one embodiment of a distributed web crawling system.

10 FIG. 5 shows an example of a block diagram of a single link server receiving links from multiple link managers and storing the links in buckets grouped by host.

FIG. 6 shows a flow chart of a process of crawling hyperlinked documents that includes selecting the host to crawl next according to a stall time of the host.

FIG. 7 shows a flow chart of a process of adjusting stall times for hosts according retrieval times.

15 FIG. 8 shows a flow chart of a process of crawling hyperlinked documents where links to be crawled are received from multiple link managers.

## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

In the description that follows, the present invention will be described in reference to embodiments that crawl hyperlinked documents (e.g., web pages) on the Web. More specifically, the embodiments will be described in reference to 5 crawling hyperlinked documents so that a search engine can more efficiently execute a user query. However, embodiments of the invention are not limited to any particular environment, application or specific implementation. For example, the embodiments described below can be advantageously applied to crawling hyperlinked documents on a local intranet for a number of diverse uses, such as 10 cataloging hyperlinked documents at a university. Therefore, the description of the embodiments that follows is for purposes of illustration and not limitation.

FIG. 1 illustrates an example of a computer system that can be used to execute the software of an embodiment of the invention. FIG. 1 shows a computer system 1 that includes a display 3, screen 5, cabinet 7, keyboard 9, and mouse 11. 15 Mouse 11 can have one or more buttons for interacting with a graphical user interface. Cabinet 7 houses a CD-ROM drive 13, system memory and a hard drive (see FIG. 2) which can be utilized to store and retrieve software programs incorporating computer code that implements the invention, data for use with the invention, and the like. Although CD-ROM 15 is shown as an exemplary computer 20 readable storage medium, other computer readable storage media including floppy disk, tape, flash memory, system memory, and hard drive can be utilized.

Additionally, a data signal embodied in a carrier wave (e.g., in a network including the Internet) can be the computer readable storage medium.

FIG. 2 shows a system block diagram of computer system 1 used to execute the software of an embodiment of the invention. As in FIG. 1, computer system 1 includes monitor 3 and keyboard 9, and mouse 11. Computer system 1 further includes subsystems such as a central processor 51, system memory 53, fixed storage 55 (e.g., hard drive), removable storage 57 (e.g., CD-ROM drive), display adapter 59, sound card 61, speakers 63, and network interface 65. Other computer systems suitable for use with the invention can include additional or fewer subsystems. For example, another computer system could include more than one processor 51 (i.e., a multi-processor system) or a cache memory.

The system bus architecture of computer system 1 is represented by arrows 67. However, these arrows are illustrative of any interconnection scheme serving to link the subsystems. For example, a local bus could be utilized to connect the central processor to the system memory and display adapter. Computer system 1 shown in FIG. 2 is but an example of a computer system suitable for use with the invention. Other computer architectures having different configurations of subsystems can also be utilized.

FIG. 3 shows a network of multiple computer systems. A network 101 provides communication between multiple computer systems 1. In a wide area network such as the Internet, some of the computer systems are servers (or hosts)

and provide access to resources or services to client computer systems on the network. With respect to web pages, there are multiple server computer systems that store the web pages that make up the Web. The web pages typically include links in the form of uniform resource locators (URLs) that are a link to another web page, whether it is on the same server or a different one.

As described above, the Web is a distributed network of web pages. Networks of hyperlinked documents can also be present in local area networks (e.g., intranets). The operation of these intranets is very similar to the Internet except that it is not uncommon for all or a majority of the hyperlinked documents of an intranet to be stored on a single server computer system.

Network 101 is also representative of a network of computer systems that can be utilized to crawl hyperlinked documents on the Web. Due to the high number of hyperlinked documents currently on the Web, it can be beneficial to utilize a distributed network of web crawlers (and other processes). For example, each computer system 1 can be executing one or more web crawler that traverses hyperlinked documents and saves information regarding the traversed hyperlinked documents on the computer system. Further details of an embodiment of the invention will be described in more detail in reference to FIG. 4.

Crawling systems typically maintain a list of uncrawled links, with each link being assigned a priority for being crawled. The priority for each link can be assigned in a variety of ways. Most all crawling systems have a function that returns

the next link that should be crawled. Although this function could always choose the uncrawled link with the highest priority, this technique does not limit the rate at which each host is crawled. For example, if the next 100 highest priority uncrawled links are all from the same host, the technique of always crawling the link with the highest 5 priority will likely have the effect of placing an undue strain on this host.

FIG. 4 shows a block diagram of a distributed system that crawls hyperlinked documents and can provide rate limiting for hosts. A link (e.g., URL) server 201 determines which links should be crawled next. Link server 201 maintains a pool of uncrawled links and groups the links by the host on which each link resides. 10 Accordingly, link server 201 attempts to ensure that each particular host is not crawled too frequently. More details about how the link server can group the links will be discussed in reference to FIG. 5.

Crawlers 203 are responsible for retrieving hyperlinked documents from the servers. Many servers have a defined protocol for crawlers stored in a "robots.txt" 15 file so crawlers 203 are also responsible for adhering to the specified protocol. For example, the robots.txt protocol can specify that certain links should not be crawled for any number of reasons. When a crawler needs one or more links to crawl, the crawler requests one or more links from link server 201. Once the contents at a link are retrieved the crawler sends the contents to one of many content filters 205.

20 Content filters 205 receive the contents from the link that was crawled by a crawler and are responsible for extracting any new links contained within the

hyperlinked document. Additionally, content filters 205 can process the contents of the hyperlinked document according to the type of the file, to extract any text that should be indexed. For example, with an PDF file, the file can be converted to text for indexing.

- 5        For some file types (e.g., HTML pages, postscript files and PDF files), the canonical version of the file as it was extracted from the Web can be stored by store managers 207 in a (or multiple) repository 209. For some file types (e.g., MP3 files) the only version of the file that may be saved is a derived file in which information (such as text for indexing) has been extracted and stored by derived store managers
- 10      211 in a (or multiple) derository 213. For example, with MP3 files, the textual track information may be extracted and saved in the derository 213 without storing the original audio MP3 file in repository 209. For a given file type, a content filter may decide to store information in repository 209, derository 213 or both based on the type of the file. In one embodiment, if the content filter decides to save information
- 15      in both repository 209 and derository 213, the information will be sent to derository 213 first and after an acknowledgement is received, the information will be sent to repository 209.

Content filters 205 can also be responsible for performing any compression that is desired. The output of the content filters can be the URL, the set of extracted URLs contained within the file, the text of the file, the text of the filtered file, or non-text information. Typically, there may be different filter processes and systems for different types of content to ensure that the entire crawling process is not slowed

down significantly for a particular type of content. Additionally, a special filter process may be utilized for the “normal” filtering of HTML documents so that these types of files can bypass other more exotic and slow-to-filter content types in the filtering stage. The content filters can additionally ignore any links that are extracted  
5 that match one of the URL patterns in a bad links file that contains a list of bad URLs.

Returning to store managers 207, each store manager can be responsible for writing information to a single repository so that there are many store managers and hence many repositories. Each store manager is responsible for taking the output of  
10 the content filters and appending this information to the appropriate repository.

In some embodiments, each link that is stored on the system is classified into one of several states. A state “not crawled” indicates that the link has been discovered or identified but has not yet been successfully crawled. The state “in flight” indicates that crawling has been requested for this link but the link has not yet  
15 been crawled to completion. When the crawling has been requested for a link but a significant amount of time has passed and the link has yet to be crawled (e.g., time is greater a threshold), the link status decays from “in flight” to “in flight + long time” indicating that a long time has passed and it may be beneficial to consider reissuing the crawl request.

20 A link that has been successfully crawled is given the state “crawled.” If a link was not crawled due to a robots.txt rule, then the link is given the state “robots.” If a

link is attempted to be crawled but the server was unreachable then the link is given the state “unreachable,” which can be retried at a later time, if desired. A link that has a state “server error” indicates that crawling the link was attempted but the server returned an error for this link (e.g., page not found). The link can be retried at 5 a later time, if desired, however retrying the link is less likely to matter for this state than it did for the “unreachable” state.

Link managers 215 receive links, in the form of a link fingerprint, to be crawled from store managers 207. Additionally, link managers 215 are responsible for keeping track of the status (the states described above) of each link in the system, 10 for maintaining link files 217 and for providing link server 201 with lists of high priority links to crawl. Because of the numerous links on the Web (and their associated information), there are typically many link managers that are distributed across many computer systems, with each link manager responsible for a portion of the link fingerprint space. In one embodiment, ten bytes of information are stored for each 15 link. Eight bytes specify the link fingerprint and the remaining two bytes include three bits for the link state, 12 bits for the priority (or rank) of the link and one bit for a try count. A hash table is utilized to select the link manager for each link by hashing the link.

The priority of a link indicates the relative importance of the link. Thus, a high 20 priority indicates that it would be desirable to crawl this link before a link with a low priority. In a preferred embodiment, the priority of the links is a ranking (also called

PageRank) as described in Application No. 09/004,827, filed January 9, 1998, which is hereby incorporated by reference.

When link managers 215 receive links to be crawled, the link managers verify that the links do not match any of the link patterns in the bad links file. As described 5 above, this process can also be preformed by content filters 205, however, it may be beneficial to perform the check again since the bad links file may have been modified after the link was extracted. When a link manager sends high priority links to link server 201, the status of these links is changed from "not crawled" to "in flight," which is accomplished by changing the three bits for the link representing the state.

10 As indicated in FIG. 4, there may be PageRank processes 219 that retrieve links from links files 217 and provides the links with a priority or rank. Links files 217 can store the structure of the Web for generating a priority. In some embodiments, a PageRank master (not shown) coordinates PageRank processes 219 and each PageRank process calculates priorities for a portion of the link space.

15 Before describing in detail the interaction of link managers 215 and link server 201, the functions of a stats manager 221 will be described. Stats manager 221 can be utilized in some embodiments to maintain statistics about the crawling process. For example, each link manager 215 can send a link and a status update message whenever a link update is received or at any other time. Some statistics that may be 20 useful may be the total number of links in each state, the total number of links in each state for each host, the set of links that were crawled recently with optionally

their priority, the set of links that are known for a particular host along with their state, and the like.

As mentioned previously, it would be desirable to ensure that the hosts are not crawled too quickly. FIG. 5 shows a block diagram of how the link managers can 5 pass lists of links to link server 201 and the link server can monitor the loads on each host. Periodically, link server 201 will require additional links to crawl. Recall that crawlers 203 will periodically request new batches of links (e.g., 500 at a time) to crawl from link server 201. As link server 201 doles out links to crawlers 203, the set 10 of high priority links that the link server stores can run low (e.g., less than a threshold) and the link server can request more high priority links from link managers 215.

In one embodiment, when link server 201 needs more links to be crawled, the link server requests a predetermined number (e.g., 100,000) of links from each link manager 215. The link managers respond with a list of links 301 that include the 15 highest priority links stored by the link manager. Link server 201 then incorporates the new links to be crawled from the lists of URLs 301 into the links to be crawled that the link server already has stored. Initially, the links are grouped according to the host that stores the link. Thus, the links are stored according to hosts 303, where each different host is shown with a different numeral. Hosts 303 are grouped into 20 buckets 305. Buckets 305 are shown with numerals 1, 2, ... n, which indicates that each host in the respective bucket has that number of uncrawled links. For example, bucket 2 group's hosts that have two uncrawled links.

In order to accomplish rate limiting of hosts, each host has an associated stall time, which is the earliest time at which another link from this host should be crawled or released to a crawler. The amount of stall time that is utilized per host can vary by host, allowing special cases for high throughput hosts (e.g., AOL). Additionally, the 5 hosts within each bucket are sorted according to the earliest stall time so that an entire bucket can be skipped if it is determined that the first host in the bucket has a stall time indicating that the host is not ready to be crawled.

In one embodiment, each host has an associated load factor and estimated (or actual) retrieval time. A load factor of 0.1 (or 10%) indicates that it would be 10 desirable to limit connections to this host to 10% of the time. The estimated retrieval time is an estimate of how long it typically takes to retrieve a web page. Therefore, if a host has a load factor of 0.1 and an estimated retrieval time of 3 seconds, the host can be crawled once every 30 seconds. In order to accomplish this, the stall time can be set to 30 seconds past the current time. Other embodiments can be 15 envisioned and utilized without departing from the spirit and scope of the invention. A load factor larger than one indicates that the crawl system is willing to have multiple simultaneous connections to the server at all times.

When link server 201 wants to identify the next link to crawl, the link server starts at the highest numbered bucket and goes downwards until a bucket is found 20 that includes a host that has a stall time that is before the current time. Once this host is found, a link is selected from the host, made ready to be passed to a crawler 203 and the link is removed from the hosts set of uncrawled links. Additionally, the

host stall time is updated or reset to indicate when is the earliest time that another link from the host should be crawled and the host is moved from its current bucket to the bucket that includes hosts with one less uncrawled link. For example, Host 5 in Bucket 2 of FIG. 5 would be moved to Bucket 1 if Host 5 is crawled. If the hosts in 5 each bucket are sorted according to stall times, the host will be inserted in the appropriate order.

Now that an embodiment of the crawling system has been described, a process of crawling hyperlinked documents will be described in reference to FIG. 6.

At a step 401, links to hyperlinked documents are received. The links are to 10 hyperlinked documents that are to be crawled. The links to hyperlinked documents are grouped by host at a step 403.

At a step 405, a host to crawl next is selected according to a stall time of the host. The stall time can indicate the earliest time that the host should be crawled. Once the host to be crawled next is selected, a hyperlinked document from the 15 selected host is crawled at a step 407.

By utilizing stall times, embodiments of the invention can ensure that hosts are not crawled too quickly. The stall times can be a predetermined amount of time, vary according to host and vary according to the actual response time of the host. FIG. 7 shows a flow chart of a process of adjusting stall times according to the actual 20 retrieval times from the host.

At a step 501, a retrieval time for retrieving the hyperlinked document from the selected host is determined. A timer or any other time measuring mechanism can be utilized to measure how long it takes to retrieve the hyperlinked document from the selected host. Once the actual retrieval time is determined, the stall time for the 5 selected host can be adjusted according to the retrieval time at a step 503. For example, if a host has a load factor of 0.1 and the retrieval time changes from 3 seconds to 7 seconds, the stall time may be 70 seconds after the current time. By adjusting stall times according to actual retrieval times, the crawling system can adapt to the actual traffic that the host is receiving. In some embodiments, default 10 load factors and retrieval times are used (except for known high throughput hosts), but either or both can be adjusted to better suit the particular host at the time.

FIG. 8 shows a flow chart of crawling hyperlinked documents where a single link server is in communication with multiple link managers. At a step 601, the link manager stores links to hyperlinked documents to be crawled. When it is 15 determined more links to hyperlinked documents are desired at a step 603, the link server sends requests to multiple link managers for more links to hyperlinked documents at a step 605.

At a step 607, the link server receives additional links to hyperlinked documents from the link managers. The link server than selects a host to crawl next 20 according to a stall time of the host at a step 609. At a step 611, a hyperlinked document from the selected host is crawled, such as by a crawler. By utilizing one

link server and multiple link managers, the link server has a global view of the crawling process.

While the above is a complete description of preferred embodiments of the invention, various alternatives, modifications, and equivalents can be used. It should  
5 be evident that the invention is equally applicable by making appropriate modifications to the embodiments described above. Therefore, the above description should not be taken as limiting the scope of the invention that is defined by the metes and bounds of the appended claims along with their full scope of equivalents.

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